CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED RECEIVED TERTORIZED TO THE PROPERTY OF THE PRO WITH THE UNITED STATES POSTAL SERVICE AS FIRST-CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS,

WASHINGTON, D.C. 20231, ON NOVEMBER 5, 2002

AGENT/ATTORNEY FOR APPLICANT

Attorney Docket No. 1289

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Bao, et al.

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Serial No.: 09/923,844

Group Art Unit:

1635

Filed:

August 7, 2001

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For:

SCLEROTINIA-INDUCIBLE GENES AND PROMOTERS AND THEIR

**USES** 

**Assistant Commissioner for Patents** Washington, D.C. 20231

> FILING OF AN INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(c), AND CERTIFICATION UNDER 37 C.F.R. §1.97(e)(1) OR (e)(2)

Information Disclosure Statement. The attached list of citations on PTO Form 1449 is being submitted under the provisions of 37 C.F.R. §1.56 and §1.97 in order to comply with the duty of disclosure. Their inclusion herein should not, however, be construed as an admission that any particular cited reference is effective prior art or that it discloses or renders obvious any aspect of the claimed invention. Copies of each are enclosed, except for those references already part of the filewrapper. This statement is being filed with the required Certification and without any fee, in accordance with the provisions of 37 C.F.R. §1.97(c)(1) and (2), i.e., before a Final Action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311.

Serial No. 09/923,844 Group Art Unit: 1635

<u>Statement of Certification</u>. The undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted, Youse G. Foutch

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